PAGE 11/10 * RCVD AT 8131/2004 1:57:20 PM [Eastern Daylight Time] * 6VR:USPTO-EFXRF-1/3 * DNIS:8729306 * CSID:301 295 6480 * DURATION (mm-ss):02-22 Attorney Docket Number NC 84,381

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Doolan, et al

Serial No.:

10/706,088

Filed:

November 13, 2003

Title: METHODS AND COMPOSITIONS FOR INDUCING IMMUNE RESPONSES AND PROTECTIVE IMMUNITY BY PRIMING WITH ALPHA VIRUS REPLICON VACCINES

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter; and
- (2) Executed Declaration (4 pages)
- (3) Supplemental submission of Missing Parts
- (4) Amendment (2 pages)
- (5) Notice to file missing parts (2 pages)

The applicants have already paid \$130.00 surcharge for the late filing of the oath or declaration and \$1,480.00 for four-month extension of time. The Commissioner is also hereby authorized to charge any other fees that may be due or credit overpayment to deposit account 14-0595.

Respectfully submitted

oseph K. Hemby, J

Registration No. 42,652

Customer No. 22245 Fax No. 301 319-7432

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted by facsimile reproduction to the Patent and Trademark Office, (703) 872-9306 on the date shown below.

August 31, 2004.

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BAGE 2/10 * RCVD AT 812/12/0 PM [Eastern Daylight Time] * 5VR: USPTO-EFXRF-1/3 * DMIS:8729306 * CSID:301 295 6480 * DURATION (mm-ss):02-22

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Serial No.:

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Title: METHODS AND COMPOSITIONS FOR INDUCING IMMUNE RESPONSES AND PROTECTIVE IMMUNITY BY PRIMING WITH ALPHAVIRUS REPLICON VACCINES

SUPPLEMENTAL SUBMISSION OF MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

A Notice of Missing Parts was mailed March 19, 2004. A response to the Notice of Missing Parts was submitted by facsimile on August 27, 2004 that correctly included executed declarations but did not include a statement that the content of the sequence listing recorded in computer readable form is identical to the written sequence listing, as required in the Notice of Missing Parts.

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Executed Declaration (4 pages)
- (2) Amendment to specification containing sequence listing statement (2 pages)

The submission of the statement by the amendment does not include new matter.

Applicants have already paid \$130.00 for the late surcharge for the late filing of the oath or declaration and \$1,480.00 for the four-month extension of time. The Commissioner is also hereby authorized to charge any other fees that may be due or credit overpayment to deposit account 14-0595.

Respectfully, submitted

Joseph K. HembyyJr. Registration No. 42,652

Customer No. 22245

Fax No. 301 319-7432

Date: August 31, 2004



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademork Office Address COMMISSIONER FOR PATENTS FO. Box 1430 Alexandria, Vigints 22313-1430 www.unipto.sov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/706,088 11/13/2003 Doolan 84,381 CONFIRMATION NO. 8196 ORMALITIES LETTER NAVAL MEDICAL RESEARCH CENTER 2 3 2004 ATTN: (CODE 00L) C000000012118271 **503 ROBERT GRANT AVENUE** SILVER SPRING, MD 20910-7500 Date Mailed: 03/19/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded
 in computer readable form is identical to the written (on paper or compact disc) sequence listing and,
 where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or
 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8,
 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8,
 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

PAGE 10/10 * RCVD AT 8/31/2004 1:57:20 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/3 * DNIS:8729306 * CSID:301 295 6480 * DURATION (mm-ss):02-222

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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